

# APPLICATION FORM FOR PARTICIPATION IN TRAINING SEMINARS FOR THE RENEWAL OF SUITABILITY CERTIFICATE

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*please attach  
photo in color*  
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**To**  
**the Hellenic Capital Market Commission (Public Law Legal Entity)**  
**3-5 Ippokratous Str.,**  
**10679 Athens**

## Candidate's Personal Details

(Please complete the form in capital letters)

## Application form

Surname: .....

First name: .....

Father's Name: .....

Mother's Name and Surname:

.....

Date of birth:.....

Place of birth:.....

Identification Card Number: :.....

Issuance date of Identification Card Number:

.....

Husband's Name and Surname:

.....

Postal address: .....

.....

.....

E-mail address: .....

Telephone number: .....

Suitability Certificate: .....

Year of obtaining the certificate: .....

Authorised exam entity/institution in  
alphabetical order (please choose your  
preferred one i.e. 1,2,3):

- ☐ Hellenic Banking Institute
- ☐ Athens Exchange Group
- ☐ Athens University of Economics and  
Business
- ☐ Aristotle University of Thessaloniki

Please do accept this application for participation in the  
training seminar for the renewal of suitability  
certification.

(mark a clear X next to the corresponding certificate):

α1) Reception, transmission and execution in  
transferable securities

(α2) Reception, transmission and execution in  
derivatives

(β1) Investment advice in transferable securities

(β) Investment advice

(γ) Investment portfolio management of clients

(δ) Analysis on financial instruments or issuers.

(ε) Distribution of units or shares of UCITS or other  
collective investment undertakings

(στ1) Clearing of transactions in transferable securities

(στ2) Clearing of transactions in derivatives

## PERSONAL INFORMATION

**EDUCATION:** Please state the most recent degree, and list information for each degree obtained by the candidate (state the name of the Institution and the Department that issued each degree and the date issued).

- (1) .....  
 (2) .....  
 (3) .....

**PROFESSIONAL EXPERIENCE:** Please state the most recent one and list information for each position held by the candidate (state the name of the employer, position held and duration of employment).

- (1) .....  
 (2) .....  
 (3) .....

The following supporting documents are attached:

- |          |           |
|----------|-----------|
| 1) ..... | 6) .....  |
| 2) ..... | 7) .....  |
| 3) ..... | 8) .....  |
| 4) ..... | 9) .....  |
| 5) ..... | 10) ..... |

(to be complemented by  
the H C M C)

*(Fill in and sign the following statement)*

**Candidate's statement:**

I hereby declare that the information and data provided in this application are true and accurate. In case of inaccuracy I am aware about the consequences as stipulated by the provisions of Law 1599/1986.

Signature:..... Date: .....

**Company's statement:**

The company declares that the information provided in this application is true and accurate. In case of inaccuracy the company is aware about the consequences as stipulated by the provisions of Law 1599/1986.

Name and Surname of the Manager

.....

(Signature and Company's Seal )

Date:.....

**Information about the General Data Protection Regulation (GDPR) for natural persons who are candidates for certification can be found in**

[http://www.hcmc.gr/aweb/files/announcements/files/Enhmerwsh\\_ypokeimenwn.pdf](http://www.hcmc.gr/aweb/files/announcements/files/Enhmerwsh_ypokeimenwn.pdf)